

CERTIFICATE OF PUBLIC CONVENIENCE AND NECESSITY - FEDERALLY FUNDED CONSTRUCTION PROJECTS

Filing Requirements Checklist

(Applicable Authority: KRS 278.023, 807 KAR 5:001, Section 8, and 807 KAR 5:069)

Case No. _____ Applicant Name _____ Received Date _____ Form Circulation Date _____

Instructions:

- 1) Each division noted by checkmark () is to complete its review and pass on within two days of receipt.
- 2) This form is to list only the specific filing deficiencies as identified in the regulations. If additional information is needed, an information request must be issued.
- 3) Staff member should use initials and list date review is completed.
- 4) Return to Docket Section following review by all divisions.

Reviewed by following Divisions:

Date

Staff Member

	Filings		
	Engineering		
	Legal		

Division Responsible

Law/Regulation

Filing Requirement

Requirement Met

Waiver Requested

Approve Waiver?

807 KAR 5:001:

Filings

Section 8(1)

Full name and post office address of applicant and a reference to the particular provision of law requiring Commission approval.

Filings

Section 8(2)

The original and 10 copies of the application with an additional copy for any party named therein as an interested party.

Legal

Section 8(3)

If applicant is a corporation, a certified copy of the Articles of Incorporation and all amendments thereto or if the articles were filed with the PSC in a prior proceeding, a reference to the style and case number of the prior proceeding.

807 KAR 5:069:

Yes	No		Yes	No

Division Responsible	Law/Regulation	Filing Requirement	Requirement Met		Waiver Requested	Approve Waiver?	
			Yes	No		Yes	No
	Section 1						
Engineering		(2) Copy of the documents from the U.S. Department of Agriculture or U.S. Department of Housing and Urban Development, as appropriate, stating approval of the project and including all terms and conditions to be met.					
Engineering		(3) Copy of the letter of concurrence in bid award.					
Engineering		(4) Copy of preliminary and final engineering reports.					
Engineering		(5) Certified statement from an authorized utility official confirming:					
Engineering		(5)(a) That the proposed plans and specifications for the project have been designed to meet the minimum construction and operating requirements set out in 807 KAR 5:066, Section 4(3) and (4), Section 5(1), Sections 6 and 7, Section 8(1) through (3), Section 9(1) and Section 10					
Engineering		(5)(b) That all other state approvals or permits have already been obtained;					
Engineering		(5)(c) That any proposed rates shall produce the total revenue requirements set out in the engineering reports; and					
Engineering		(5)(d) Dates upon which construction will begin and end.					
Legal		(6) That notice to the customers has been given in conformance with Section 2 of this regulation.* A copy of the notice shall be included in the application.					
		*A one time notice shall be given prior to or at the same time application is made, either by direct mail to each customer or by publication in a newspaper of general circulation in local service area. The notice shall contain the current and proposed rates and a brief description of the construction project.					
	807 KAR 5:069 Section 1						
Engineering		(7) If necessary, a motion requesting approval to deviate from					

<u>Division Responsible</u>	<u>Law/Regulation</u>	<u>Filing Requirement</u>	<u>Requirement Met</u>		<u>Waiver Requested</u>	<u>Approve Waiver?</u>	
			Yes	No		Yes	No
Engineering	KRS 322.340	any minimum construction standard or operating condition prescribed by Section 1(5)(a) of this regulation. The motion shall be sufficiently supported to identify and explain the reasons that minimum requirements cannot be met.					
		At least one copy of preliminary and final engineering report shall be signed, sealed, and dated by a registered professional engineer.					